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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031  
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| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/898,479                    |       |
|   | <b>Filing Date</b>          | Jul 2, 2001                   |       |
|   | <b>First Named Inventor</b> | Lamkin, Allan B.              |       |
|   | <b>Group Art Unit</b>       | OCT 22 2003                   |       |
|   | <b>Examiner Name</b>        | Technology Center 2600        |       |
| <b>Total Number of Pages in This Submission</b>   | 6*                          | <b>Attorney Docket Number</b> | 70681 |

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| <input type="checkbox"/> Affidavits/declaration(s)                                       | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Fitch, Even, Tabin & Flannery, by Thomas F. Lebens |
| Signature                                  |  |
| Date                                       | January 9, 2002                                    |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lamkin et al.

Serial No.: 09/898,479

Filed: 7/2/2001

Title: SYSTEM, METHOD AND ARTICLE  
OF MANUFACTURE FOR A  
COMMON CROSS PLATFORM  
FRAMEWORK FOR  
DEVELOPMENT OF DVD-VIDEO  
CONTENT INTEGRATED WITH  
ROM CONTENT

Attorney Docket No.: 70681

Group Art Unit:

Examiner:

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Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. .

Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 9, 2002

Typed Name: Thomas F. Lebens

Signature: \_\_\_\_\_



\_\_\_\_\_  
Thomas F. Lebens  
Attorney/Agent for Applicant(s)  
Reg. No. 38221

Date: January 9, 2002

Telephone No.: 858-587-7644

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|---|---|--------------------------|------------------|
| Substitute for form 1449A/PTO   |   | <b>Complete if Known</b> |                  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   | Application Number       | 09/898,479       |
|   |   | Filing Date              | July 2, 2001     |
|   |   | First Named Inventor     | Lamkin, Allan B. |
|   |   | Group Art Unit           |                  |
|   |   | Examiner Name            |                  |
| Sheet   | 1 | of                       | 3                |
|   |   | Attorney Docket Number   | 70681            |

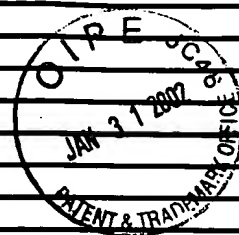
| U.S. PATENT DOCUMENTS |                          |                      |                                      |  |  |  |
|-----------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                       |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |  |
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|--------------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |   |  |   |                |
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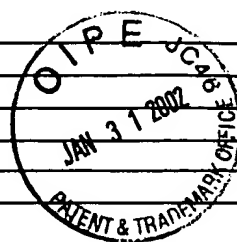
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Serial No. 09/898,479  
Filed July 2, 2001

|   |   |                          |                  |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   | Application Number       | 09/898,479       |
|   |   | Filing Date              | July 2, 2001     |
|   |   | First Named Inventor     | Lamkin, Allan B. |
|   |   | Group Art Unit           |                  |
|   |   | Examiner Name            |                  |
| Sheet   | 3 | of                       | 3                |
|   |   | Attorney Docket Number   | 70681            |



| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner Initials*                                | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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